

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L31300PN2000PLC202408

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAECS8719B

(ii) (a) Name of the company

STERLITE TECHNOLOGIES LIMIT

(b) Registered office address

4th Floor, Godrej Millennium, Koregaon Road 9,
STS 12/1
pune
Pune
Maharashtra
411001

(c) *e-mail ID of the company

secretarial@stl.tech

(d) *Telephone number with STD code

02030514000

(e) Website

www.stl.tech

(iii) Date of Incorporation

24/03/2000

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Ltd	1
2	National Stock Exchange of India Ltd	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

26/08/2021

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C9	Computer, electronic, Communication and scientific measuring & control equipment	84.24

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

31

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Twin Star Overseas Ltd		Holding	52.8
2	Speedon Network Limited	U32202DN2011PLC000373	Subsidiary	100

3	Sterlite Telesystems Limited	U64200DN2015PLC000481	Subsidiary	100
4	Elitecore Technologies (Maurit		Subsidiary	100
5	Elitecore Technologies Sdn Bh		Subsidiary	100
6	Sterlite Global Ventures (Mauri		Subsidiary	100
7	Maharashtra Transmission Cor	U64201MH2012PLC234316	Subsidiary	51.79
8	Jiangsu Sterlite Tongguang Fik		Subsidiary	75
9	Sterlite Technologies UK Ventu		Subsidiary	100
10	Sterlite Tech Holding Inc.		Subsidiary	100
11	Sterlite Technologies Inc		Subsidiary	100
12	Sterlite Condu spar Industrial L		Subsidiary	58.05
13	Sterlite (Shanghai) Trading Co.		Subsidiary	100
14	Sterlite Technologies S.P.A		Subsidiary	100
15	Sterlite Innovative Solutions Li	U74999MH2018PLC310212	Subsidiary	100
16	STL Digital Limited (Erstwhile "	U72100DN2018PLC005557	Subsidiary	100
17	Sterlite Tech Cables Solutions I	U74999MH2019PLC333336	Subsidiary	100
18	Impact Data Solutions Limited		Subsidiary	80
19	Impact Data Solutions B.V., Ne		Subsidiary	80
20	Vulcan Data Centre Solutions L		Subsidiary	80
21	Metallurgica Bresciana S.P.A.		Subsidiary	100
22	PT Sterlite Technologies Indon		Subsidiary	100
23	Sterlite Technologies DMCC		Subsidiary	100
24	Sterlite Technologies Pty. Ltd.		Subsidiary	100
25	STL Optical Interconnect S.p.A		Subsidiary	100
26	Optotec S.p.A		Subsidiary	100
27	Optotec International S.A.		Subsidiary	100

28	STL Edge Networks Inc.		Subsidiary	100
29	STL Networks Limited	U72900PN2021PLC199875	Subsidiary	100
30	ASOCS		Joint Venture	12.5
31	MB Maanshan Special Cable Li		Joint Venture	40

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	750,000,000	396,628,378	396,628,378	396,628,378
Total amount of equity shares (in Rupees)	1,500,000,000	793,256,756	793,256,756	793,256,756

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	750,000,000	396,628,378	396,628,378	396,628,378
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	1,500,000,000	793,256,756	793,256,756	793,256,756

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	2,982,019	400,980,968	403962987	807,925,974	807,925,974	
Increase during the year	0	1,679,333	1679333	3,358,666	3,358,666	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	1,532,391	1532391	3,064,782	3,064,782	
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify	0	146,942	146942	293,884	293,884	
others						
Decrease during the year	146,942	8,867,000	9013942	18,027,884	18,027,884	0
i. Buy-back of shares	0	8,867,000	8867000	17,734,000	17,734,000	
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	146,942	0	146942	293,884	293,884	
others						
At the end of the year	2,835,077	393,793,301	396628378	793,256,756	793,256,756	
Preference shares						

At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE089C01029

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	5,900	1000000	5,900,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			5,900,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	2,250,000,000	4,400,000,000	750,000,000	5,900,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

41,420,100,000

(ii) Net worth of the Company

18,263,600,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,831,336	0.46	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	4,764,295	1.2	0	
10.	Others Overseas Body Corporate	209,402,750	52.8	0	
	Total	215,998,381	54.46	0	0

Total number of shareholders (promoters)

10

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	94,454,809	23.81	0	
	(ii) Non-resident Indian (NRI)	3,882,946	0.98	0	
	(iii) Foreign national (other than NRI)	13,450	0	0	
2.	Government				
	(i) Central Government	500	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	13,110,708	3.31	0	
4.	Banks	71,188	0.02	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	20,278,823	5.11	0	
7.	Mutual funds	20,825,012	5.25	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	11,566,687	2.92	0	
10.	Others Others	16,425,874	4.14	0	
	Total	180,629,997	45.54	0	0

Total number of shareholders (other than promoters)

188,862

**Total number of shareholders (Promoters+Public/
Other than promoters)**

188,872

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

1

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
Refer the Attachment					

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	10	10
Members (other than promoters)	209,715	188,862
Debenture holders	26	6

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	2	2	1	0.35	0
B. Non-Promoter	1	4	1	6	0.27	0
(i) Non-Independent	1	0	1	0	0.27	0
(ii) Independent	0	4	0	6	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	6	3	7	0.62	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ARUN LALCHAND TOE	00020916	Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ANIL KUMAR AGARWA	00010883	Director	0	
PRAVIN AGARWAL	00022096	Whole-time director	586,750	
ANAND GOPALDAS AC	00057364	Whole-time director	1,083,640	
KUMUD MADHOK SRIN	06487248	Director	0	
SANDIP DAS	00116303	Director	8,290	
ANKIT KUMAR AGARW	03344202	Additional director	805,041	
SUBRAMANIAN MADH	06451889	Additional director	3,000	
BANGALORE JAYARAI	02497125	Additional director	0	
A R NARAYANASWAM	00818169	Director	1,000	
MIHIR KIRTIKUMAR M	AIGPM2682N	CFO	0	
AMIT VILAS DESHPAN	AGYPD6838M	Company Secretar	49,360	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

8

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SUBRAMANIAN MADH	06451889	Additional director	20/01/2021	Appointment
BANGALORE JAYARAI	02497125	Additional director	20/01/2021	Appointment
MIHIR KIRTIKUMAR M	AIGPM2682N	CFO	05/10/2020	Appointment
PRATIK PRAVIN AGAR	03040062	Director	20/01/2021	Resignation
A R NARAYANASWAM	00818169	Director	31/03/2021	Retirement
ARUN LALCHAND TOE	00020916	Director	31/03/2021	Retirement
ANKIT KUMAR AGARW	03344202	Additional director	20/01/2021	Appointment
ANUPAM JINDAL	AHLPJ8220F	CFO	11/09/2020	Resignation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	31/08/2020	198,780	112	53.9

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	12/05/2020	8	7	87.5
2	23/07/2020	8	7	87.5
3	05/10/2020	8	7	87.5
4	22/10/2020	8	6	75
5	20/01/2021	11	10	90.91
6	17/03/2021	10	10	100

C. COMMITTEE MEETINGS

Number of meetings held

21

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	11/05/2020	4	4	100
2	Audit Committee	22/07/2020	4	4	100
3	Audit Committee	05/10/2020	4	4	100
4	Audit Committee	21/10/2020	4	4	100
5	Audit Committee	04/12/2020	4	2	50
6	Nomination and Remuneration	19/01/2021	4	4	100
7	Nomination and Remuneration	30/04/2020	4	4	100
8	Nomination and Remuneration	11/05/2020	4	4	100
9	Nomination and Remuneration	23/06/2020	4	4	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
10	Nomination an	22/07/2020	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	26/08/2021
								(Y/N/NA)
1	ARUN LALCH	6	6	100	21	21	100	Not Applicable
2	ANIL KUMAR	6	2	33.33	0	0	0	No
3	PRAVIN AGAI	6	6	100	12	11	91.67	Yes
4	ANAND GOPA	6	6	100	5	5	100	Yes
5	KUMUD MADH	6	6	100	13	13	100	Yes
6	SANDIP DAS	6	6	100	19	18	94.74	No
7	ANKIT KUMAR	2	2	100	0	0	0	Yes
8	SUBRAMANIAN	2	2	100	0	0	0	Yes
9	BANGALORE	2	2	100	0	0	0	No
10	A R NARAYAN	6	6	100	14	14	100	Not Applicable

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PRAVIN AGARWAL	Director	80,571,000	0	0	19,003,300	99,574,300
2	ANAND AGARWAL	Director	80,002,000	0	0	29,514,000	109,516,000
3	ANKIT AGARWAL	Additonal Director	5,800,000	0	0	0	5,800,000
	Total		166,373,000	0	0	48,517,300	214,890,300

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ANAND AGARWAL	CEO	80,002,000	0	0	29,514,000	109,516,000
2	ANUPAM JINDAL	CFO	4,958,985	0	6,312,944	335,720	11,607,649
3	MIHIR MODI	CFO	8,179,422	0	0	457,250	8,636,672
4	AMIT DESHPANDE	Company Secre	5,561,420	0	1,289,528	249,312	7,100,260
	Total		98,701,827	0	7,602,472	30,556,282	136,860,581

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUBRAMANIAN MA	Additional Direct	0	0	0	150,000	150,000
2	BANGALORE JAYA	Additional Direct	0	0	0	150,000	150,000
3	A R NARAYANASW	Director	0	2,250,000	0	1,010,000	3,260,000
4	ARUN LALCHAND	Director	0	2,250,000	0	1,410,000	3,660,000
5	SANDIP DAS	Director	0	2,250,000	0	1,170,000	3,420,000
6	KUMUD SRINIVAS,	Director	0	2,250,000	0	970,000	3,220,000
7	PRATIK AGARWAL	Director	0	2,250,000	0	225,000	2,475,000
	Total		0	11,250,000	0	5,085,000	16,335,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Jayavant B Bhave

Whether associate or fellow

Associate Fellow

Certificate of practice number

3068

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

21

dated

30/04/2014

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

ANKIT
KUMAR
AGARWAL

DIN of the director

03344202

To be digitally signed by

AMIT VILAS
DESHPAN
DE

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

FormMGT8Signed.pdf
ListofDebentureholders31032021.pdf
CommitteeMeetings.pdf
FIIDetails.pdf
TransferData.pdf

Remove attachment

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Office : Flat No. 9, Karan Aniket, Plot No. 37, Shri Varanasi Co-op Soc. Ltd
Off Banglore-Mumbai Bye Pass, Behind Atul Nagar, Warje, Pune 411 058.
Ph. : +91 020 - 25204357/59, E-mail : jbbhave@gmail.com

FORM NO. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **STERLITE TECHNOLOGIES LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on March 31, 2021.

Due to COVID-19 pandemic and subsequent lockdown declared by the Central, State and Local governments, physical verification of documents/ registers/ papers was not possible and hence, I have relied on the scanned copies / emails/ digitally accessible data, information, registers, documents and papers provided by the Company for carrying out the Audit and to that extent my verification of documents and records might have been impacted.

In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. The Company is a Public Limited Non-Government Company registered under the Companies Act, 1956 having share capital, bearing CIN: L31300PN2000PLC202408 and listed on BSE Limited and National Stock Exchange of India Limited (NSE).
 2. The company has maintained registers, records in accordance with the Act and the rules made there under.
 3. The Company has filed the forms and returns with the Registrar of Companies, Court and other authorities as required under the Act within the prescribed time.
 4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;



5. Closure of Register of Members / Security holders, as the case may be;

The Company closed its Register of Members and announced record dates as per the table mentioned below:

Sr. No.	Type of Event	Book Closure/Record Date/ Cut-off date
1	Annual General Meeting – Monday, August 31, 2020	Book Closure date: From August 29, 2020 to August 31, 2020 Cut-off date: August 24, 2020

6. The Company has complied with the advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act.
7. The Company has entered into contracts/ arrangements with related parties which are at arm's length and in the ordinary course of business in accordance with the provisions of section 188 of the Act.
8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances:

- (1) The company allotted 15,32,391 (Fifteen lakhs thirty Two Thousand Three Hundred and Ninety One) equity shares at Rs. 2/- each (Rupees Two Only) under company's ESOP Scheme 2010 and has complied with the provisions of the Act and the Rules made there under in respect thereof. The details of the same are mentioned below:

Sr. No.	Type of allotment/ Issue of Equity shares/ Debentures	Date of allotment	No of equity share or debenture issued/ allotted	Price per Equity share (In Rs.)
1	Equity shares issued under ESOP Scheme 2010	September 21, 2020	5,93,466	2
2	Equity shares issued under ESOP Scheme 2010	November 17, 2020	5,74,190	2
3	Equity shares issued under ESOP Scheme 2010	January 13, 2021	2,73,467	2
4	Equity shares issued under ESOP Scheme 2010	March 12, 2021	91,268	2
	Total Shares allotted		15,32,391	2

- (2) The Board of Directors had approved on March 24, 2020 the proposed buyback of Equity Shares for a total amount not exceeding Rs. 145 Crore, being 10% of the aggregate of the total paid-up equity capital and free reserves of the Company based on the audited standalone and consolidated financial statements of the Company for the financial year ended March 31, 2019 at a price not exceeding Rs. 150/- (Rupees One Hundred and Fifty



Only) per equity share, from the open market through stock exchange mechanism. Total 88,67,000 equity shares were bought back amounting to Rs. 145 Crore and the buyback was completed on August 27, 2020 and the Company has complied with the provisions of the Act and the Rules made there under in respect thereof.

- (3) Pursuant to the resolution of the Board dated April 23, 2019, the Committee Resolution dated February 25, 2021 and March 12, 2021 the special resolution of the shareholders dated 19 August 2014 passed in accordance with Section 180(1)(c) of the Companies Act, 2013, the Company has allotted on March 25, 2021 and March 31, 2021, rated, listed, secured, redeemable, non-convertible debentures [NCD] on private placement basis in accordance with the details mentioned below and subject to the terms and conditions mentioned in all NCD documents. The Company has complied with the provisions of the Act and the Rules made there under in respect thereof

Series	Face Value	Tenor	Number of NCDs allotted	Amount received
1.	Rs. 10,00,000	10 Years	2900	INR 290 crore
2.	Rs. 10,00,000	3 Years	1500	INR 150 crore

Further, transfers/ transmission of shares and issue of share certificates in all instances were made in accordance with the provisions of the Act and the Rules there under.

There were no instances of redemption of preference shares/ alteration or reduction of capital/ conversion of shares/ securities during the year under review.

9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
The dividend of Rs. 50,907.50 is kept in abeyance towards final dividend in the Financial Year 2020-21.
10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;

The Company at the Annual General Meeting held on August 31, 2021, had declared final dividend for the year 2019-2020 at Rs. 3.50/- (Rupees Three and Fifty Paise Only) per share and complied with the provisions of declaration and payment of dividend as per provisions of the Act.

The company has transferred unclaimed and unpaid dividend amount of Rs. 20,28,913.50/- (Rupees Twenty Lakhs Twenty Eight Thousand Nine Hundred and Thirteen and Paise Fifty Only) to the Investor Education and Protection Fund (IEPF) account of the Central Government pertaining to financial year 2012-13 in accordance with Sections 124 and 125 of the Act.

The company has transferred 1,19,144 (One Lakh Nineteen Thousand One Hundred and Forty Four) Equity shares to the Investor Education and Protection Fund (IEPF) account of the Central



Government in respect of which dividends had remained unclaimed for a period of seven consecutive years and complied with the provisions of declaration and payment of dividend as per provisions of the Act.

11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. During the year under review, the Company has complied with the provisions of the Act and Rules there under in respect of constitution/ appointment/ re-appointment/ retirement/ filling up casual vacancies/ disclosures of directors, Key Managerial personnel and the remuneration paid to them.
13. During the year under review, there were no instances with reference to the provisions of Section 139 of the Act and Rules there under in respect of appointment/ reappointment of auditors.
14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

The shifting of registered office of the Company from E1, MIDC Industrial Area Waluj Aurangabad, Aurangabad 431136, Maharashtra, India located in the State of Maharashtra under the jurisdiction of Registrar of Companies Mumbai, (ROC Mumbai) to 4th Floor, Godrej Millennium, Koregaon Road 9, STS 12/1, Pune- 411001, located in the State of Maharashtra under the jurisdiction of Registrar of Companies, Pune (ROC Pune) was approved by the shareholders by passing special resolution in the Annual General Meeting held on August 31, 2020. The Company has received order from the Regional Director, Western Region vide order number-RD/Sec.12(5)/R68671668/1803 on November 10, 2020. The Company received approval from the Registrar of Companies, Pune on July 6, 2021.

Except as stated above, the Company was not required to take any other approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.

15. The Company has not accepted deposits during the year under review.
16. The company has complied with respect to borrowings/ creation/ modification/ satisfaction of charges as per the provisions of the Act during the year under review.
17. The company has complied with the provisions stipulated in section 186 of the Act, in respect of loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act.
18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

The Company has complied with the provisions of the Act and Rules thereunder in respect of application for amendment of Registered office clause in the Memorandum of Association. The



shifting of registered office of the Company from E1, MIDC Industrial Area Waluj, Aurangabad 431136 Maharashtra, India located in the State of Maharashtra under the jurisdiction of Registrar of Companies Mumbai, (ROC Mumbai) to 4th Floor, Godrej Millennium, Koregaon Road 9, STS 12/1, Pune - 411001, located in the State of Maharashtra under the jurisdiction of Registrar of Companies, Pune (ROC Pune) was approved by the shareholders by passing special resolution in the Annual General Meeting held on August 31, 2020. The Company has received order from the Regional Director, Western Region vide order number- RD/Sec.12(5)/R68671668/1803 on November 10, 2020. The Company received approval from the Registrar of Companies, Pune on July 6, 2021.

FOR J B Bhave & Co
Company Secretaries



Jayavant B Bhave
Proprietor
FCS 4266 CP 3068



UDIN: F004266C001155561
PR No.: 1238/2021

Place: Pune
Date: October 12, 2021

STERLITE TECHNOLOGIES LIMITED

As on 31.3.2021

Annual Return- List of Debentureholders

Sr. No.	First Name	Middle Name	Last Name	Folio Number	DP ID-Client Id Account Number	No of Shares held	Class of Shares	ISIN No	Face Value
1	CICI	PRUDENTIAL	FIXEDMATURITYPLAN		IN30012611268137	596	Debentures	INE089C07091	1000000.00
2	CICI	PRUDENTIAL	FIXEDMATURITYPLAN		IN30012611268303	287	Debentures	INE089C07091	1000000.00
3	CICI	PRUDENTIAL	FIXEDMATURITYPLAN		IN30012611268311	17	Debentures	INE089C07091	1000000.00
4	THE FEDERAL	BANK	LIMITED		IN30151610000012	600	Debentures	INE089C07091	1000000.00
5	LIFE INSURANCE	CORPORATION OF	INDIA LIMITED		IN30081210000012	2900	Debentures	INE089C07109	1000000.00
6	AXIS	BANK	LIMITED		IN30048410820765	1500	Debentures	INE089C07117	1000000.00
	Total:					5900			

In continuation to IX. C of MGT 7- FY 2020-21

Details Of Committee Meetings

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
11	Nomination and Remuneration Committee	05/10/2020	4	4	100%
12	Nomination and Remuneration Committee	19/01/2021	4	4	100%
13	Sustainability and Corporate Social Responsibility Committee	11/05/2020	4	4	100%
14	Sustainability and Corporate Social Responsibility Committee	21/10/2020	4	4	100%
15	Stakeholder Relationship Committee	11/05/2020	4	4	100%
16	Stakeholder Relationship Committee	22/07/2020	4	4	100%
17	Stakeholder Relationship Committee	21/10/2020	4	4	100%
18	Stakeholder Relationship Committee	19/01/2021	4	4	100%
19	Risk Management Committee	22/07/2020	5	5	100%
20	Risk Management Committee	21/10/2020	4	4	100%
21	Risk Management Committee	19/01/2021	5	5	100%

STERLITE TECHNOLOGIES LIMITED
List of Shareholders as on 31/03/2021 ((Fil))

SLNO	DPID	FOLIO/CL-ID	NAME/JOINT NAME(S)	ADDRESS	COUNTRY OF INCORPORATION	REGN. NO WITH SEBI	HOLDING	% TO EQT	MINOR CODE	PAN NO
1	IN300054	10006646	JF INDIA FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	INCYFE000692	3000	0.00	FII	AAATJ0053C
			TOTAL:				3000	0.00		

STERLITE TECHNOLOGIES LIMITED

Regd. Office: 4th Floor, Godrej Millennium, Koregaon Road 9, STS 12/1 pune Pune MH 411001

CIN NO:L31300PN2000PLC202408

Date of closure of previous financial year :31/03/2021

Sno	Date of Registration of Transfer of Shares	Type of Security	No of Shares	Nominal value (each in Rs.)	Ledger Folio of Transferor	Transferor's Name	Ledger Folio of Transferee	Transferee's Name
1	07/07/2020	1	450	2	J50626	JOHARILAL ARYA	SOT0006957	RAJENDRA CHOUHAN
2	06/08/2020	1	375	2	A63022	ARVIND M SHAH	SOT0006958	MONISHA ARVIND SHAH
3	16/10/2020	1	100	2	S06191	SEWATI DEVI GADODIA	SOT0007220	SEWATI DEVI GADODIA
4	30/10/2020	1	900	2	J21180	JYOTINDRA T KOTHARI	SOT0007221	RANNA JYOTINDRA KOTHARI
5	24/11/2020	1	500	2	S34648	SOLI S DEBOO	SOT0007503	PRABODHKUMAR KASTURCHAND SHAH
6	30/11/2020	1	500	2	A25945	ASHA SHARMA	SOT0007504	SANJAY SHARMA
7	30/11/2020	1	435	2	A05493	ANITA DHAWAN	SOT0007505	PUNIT DHAWAN
8	22/12/2020	1	500	2	D23814	DWARKA NATH SURI	SOT0007506	KRISHNA SURI
9	26/12/2020	1	500	2	G22450	G GURUMANICKAM	SOT0007507	G INDRA
10	12/01/2021	1	265	2	R06253	RAMESHWAR DAYAL TYAGI	SOT0007509	YOGESHWAR KUMAR TYAGI
11	12/01/2021	1	265	2	R06253	RAMESHWAR DAYAL TYAGI	SOT0007510	VINOD TYAGI
12	12/01/2021	1	265	2	R06253	RAMESHWAR DAYAL TYAGI	SOT0007511	ASHOK TYAGI
13	12/01/2021	1	122	2	K06476	KRANTIDEVI TYAGI	SOT0007512	ASHOK TYAGI
14	12/01/2021	1	121	2	K06476	KRANTIDEVI TYAGI	SOT0007513	YOGESHWAR KUMAR TYAGI
15	12/01/2021	1	122	2	K06476	KRANTIDEVI TYAGI	SOT0007514	VINOD TYAGI
16	12/01/2021	1	3150	2	F03011	FAREDUN MINOO LAKDAVALA	SOT0007515	FAREDUN MINOO LAKDAVALA
17	01/02/2021	1	125	2	S66145	SUDHA SHAH	SOT0007628	SUDHA SHAH
18	01/02/2021	1	540	2	N00229	NARENDRA VADILAL SHAH	SOT0007626	ATULKUMAR VADILAL SHAH
19	01/02/2021	1	1000	2	N25250	NARENDRA V SHAH	SOT0007626	ATULKUMAR VADILAL SHAH
20	01/02/2021	1	375	2	N60856	NARENDRA V SHAH	SOT0007626	ATULKUMAR VADILAL SHAH
21	01/02/2021	1	135	2	N00032	NARENDRA VADILAL SHAH	SOT0007626	ATULKUMAR VADILAL SHAH
22	15/02/2021	1	125	2	T60726	TULSIBAI	SOT0007630	SUBHASH KUMAR
23	15/02/2021	1	500	2	T21221	TULSIBAI	SOT0007630	SUBHASH KUMAR
24	22/02/2021	1	500	2	R23505	RAJA RAM	SOT0007629	PUSHPA DEVI
25	22/02/2021	1	205	2	J04215	JAGDISH PRASAD ROHATGI	SOT0007631	VIJAY KUMAR ROHATGI
26	23/02/2021	1	655	2	M04777	MAHENDRAKUMAR GANDHI	SOT0007632	AKSHAYKUMAR MAHENDRAKUMAR GANDHI
27	23/02/2021	1	300	2	M21518	MAHENDRAKUMAR GANDHI	SOT0007633	AKSHAYKUMAR MAHENDRAKUMAR GANDHI
28	04/03/2021	1	920	2	S50896	SAVITA JHANWAR	SOT0007635	SURESH KUMAR JHAWAR